

***IN THE UNITED STATES PATENT AND TRADEMARK OFFICE***

Applicant: Dietrich KLINGLER et al.  
Title: CONTROL DEVICE COMPRISING A  
ROLLER BAND CASSETTE  
Appl. No.: 10/524,739  
International Filing Date: 8/12/2003  
371(c) Date: 2/16/2005  
Examiner: Boles, Derek  
Art Unit: 3749  
Confirmation Number: 1588

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR §1.56**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56.

A copy of each non-U.S. patent document and each non-patent document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

**TIMING OF THE DISCLOSURE**

The listed documents are being submitted in compliance with 37 CFR §1.97(b), before the mailing date of the first Office Action on the merits.

**RELEVANCE OF EACH DOCUMENT**

Documents A1-A5, and A8-A10 listed on the attached Form PTO/SB/08 were cited in a co-pending U.S. application Serial No. 10/524,740 (listed as Document A7 on the attached Form PTO/SB/08). Documents A1-A7 and A10 are in English.

Documents A6 and A8 belong to the same “patent family,” whereby the English language document may assist the PTO in understanding the content of the non-English language document.

Unless otherwise indicated, no English translation is readily available (not considering machine-generated translations that may be freely available online, to both the Applicants and the PTO) for each of the non-English language documents. However, a commercially available English language abstract is provided herewith, where indicated on the attached Form PTO/SB/08. Inasmuch as Applicants have endeavored to provide at least one item that complies with the requirement for a “concise explanation of relevance” for each of the non-English language documents, each of these documents has been submitted in compliance with the PTO requirements and should be considered by the Examiner (37 CFR §1.97, §1.98 and MPEP §609).

Applicants respectfully request that each listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

Although Applicants believe that no fee is required for this Request, the Commissioner is hereby authorized to charge any additional fees which may be required for this Request to Deposit Account No. 19-0741.

Respectfully submitted,

Date: January 24, 2007

By 

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| Substitute for form 1449/PTO   |   |    |   | <b>Complete if Known</b> |                   |
| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><br>Date Submitted: January 24, 2007<br><br><i>(use as many sheets as necessary)</i> |   |    |   | Application Number       | 10/524,739        |
|  |   |    |   | Filing Date              | 8/12/2003         |
|  |   |    |   | First Named Inventor     | Dietrich KLINGLER |
|  |   |    |   | Art Unit                 | 3749              |
|  |   |    |   | Examiner Name            | Boles, Derek      |
| Sheet  | 1 | of | 1 | Attorney Docket Number   | 016906-0370       |

## U.S. PATENT DOCUMENTS

| Examiner Initials* | Cite No. <sup>1</sup> | Document Number<br>Number-Kind Code <sup>2</sup> (if known) | Publication Date<br>MM-DD-YYYY | Name of Patentee or Applicant of<br>Cited Document | Pages, Columns, Lines,<br>Where Relevant<br>Passages or Relevant<br>Figures Appear |
|--------------------|-----------------------|---|--------------------------------|--|--|
|                    | A1                    | 5,145,456 A   | 09-08-1992                     | ITO ET AL.   |  |
|                    | A2                    | 5,243,830 A   | 09-14-1993                     | ITO ET AL.   |  |
|                    | A3                    | 6,325,096 B1  | 12-04-2001                     | RISING ET AL.                                      |  |
|                    | A4                    | 6,979,258 B2  | 12-27-2005                     | GOUPIL, JR. ET AL.                                 |  |
|                    | A5                    | 6,074,294 A   | 06-13-2000                     | STEVENSON  |  |
|                    | A6                    | 5,916,021 A   | 06-29-1999                     | DANIEAU  |  |
|                    | A7                    | 2005/0217738  | 10-06-2005                     | KLINGLER ET AL.                                    |  |

## UNPUBLISHED U.S. PATENT APPLICATION DOCUMENTS

| Examiner Initials* | Cite No. <sup>1</sup> | U.S. Patent Application<br>Document<br>Serial Number-Kind Code <sup>2</sup> (if<br>known) | Filing Date of<br>Cited Document<br>MM-DD-YYYY | Name of Patentee or Applicant of<br>Cited Document | Pages, Columns, Lines,<br>Where Relevant<br>Passages or Relevant<br>Figures Appear |
|--------------------|-----------------------|---|--|--|--|
|                    |                       |   |  |  |  |

## FOREIGN PATENT DOCUMENTS

| Examiner Initials* | Cite No. <sup>1</sup> | Foreign Patent Document<br>Country Code <sup>3</sup> Number <sup>4</sup> Kind<br>Code <sup>5</sup> (if known) | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Documents | Pages, Columns,<br>Lines, Where<br>Relevant<br>Passages or Relevant<br>Figures Appear | T <sup>6</sup> |
|--------------------|-----------------------|---|--------------------------------|---|---|----------------|
|                    | A8                    | JP 64-85809 A   | 03-30-1989                     | NIPPON DENSO CO LTD                                 |   | ABS            |
|                    | A9                    | DE 197 11 209 A1  | 10-30-1997                     | VALEO CLIMATISATION                                 |   | ABS            |
|                    | A10                   | EP 0 545 320 B1   | 01-29-1997                     | NIPPON DENSO CO LTD                                 |   |                |

## NON PATENT LITERATURE DOCUMENTS

| Examiner Initials* | Cite No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>6</sup> |
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| Examiner Signature | /Derek Boles/ | Date Considered | 03/31/2008 |
|--------------------|---------------|-----------------|------------|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.